

Application to open a corporate Margin Trading account



1. Name and Address of Company

Name of Company:

Registered Address:

City/Town:

Country:

Postcode:

Business and Postal Address:

City/Town:

Country:

Postcode:

2. Company Details

Registered number:

Type of business:

Is the company registered with the FSA or any other financial regulator?

Yes No

If so, please give details:

Is the company or its parent listed on any Stock Exchange?

Yes No

If so, please give details:

If you have a parent company, please give details:

Names of ALL Directors:

Director 1:

Director 2:

Director 3:

Director 4:

Names and percentage shareholdings of ALL Shareholders:

Shareholder 1:

Shareholder 2:

Shareholder 3:

Shareholder 4:

3. Contact Details

Name of contact person:

Title:

Tel. (day):

Tel. (eve):

Mobile:

Fax:

Email:

Where did you hear about us?

Forums on the Internet

Search Engines

Banners on the Internet

Recommendations from friends

4. Bank

Name and address of bank:

Account no or IBAN:

Sort Code (for UK bank) or SWIFT Code for non UK bank:

5. Financial Background

Approximate annual turnover:

_____ USD

Balance sheet total:

_____ USD

Approximately how much of funds are available for your trading?

_____ USD

Date of latest audited accounts upon which this information is based

6. Investment Experience of the Applicant

Do you understand the nature and risks of trading margined products?

Yes No

Please indicate your investment experience:

Instrument	Experience		
FOREX (Spot or Forward)	<input type="checkbox"/> <6 months	<input type="checkbox"/> 6-12 months	<input type="checkbox"/> >12 months
Equities/Bonds	<input type="checkbox"/> <6 months	<input type="checkbox"/> 6-12 months	<input type="checkbox"/> >12 months
Equities/Indices Futures or Options	<input type="checkbox"/> <6 months	<input type="checkbox"/> 6-12 months	<input type="checkbox"/> >12 months
Financial Futures or Options	<input type="checkbox"/> <6 months	<input type="checkbox"/> 6-12 months	<input type="checkbox"/> >12 months
Commodity Futures or Options	<input type="checkbox"/> <6 months	<input type="checkbox"/> 6-12 months	<input type="checkbox"/> >12 months
Financial or Spread Betting	<input type="checkbox"/> <6 months	<input type="checkbox"/> 6-12 months	<input type="checkbox"/> >12 months

Please indicate frequency of your deals:

Instrument	Frequency of deals (per month)		
FOREX (Spot or Forward)	<input type="checkbox"/> 0-2	<input type="checkbox"/> 3-5	<input type="checkbox"/> >5
Equities/Bonds	<input type="checkbox"/> 0-2	<input type="checkbox"/> 3-5	<input type="checkbox"/> >5
Equities/Indices Futures or Options	<input type="checkbox"/> 0-2	<input type="checkbox"/> 3-5	<input type="checkbox"/> >5
Financial Futures or Options	<input type="checkbox"/> 0-2	<input type="checkbox"/> 3-5	<input type="checkbox"/> >5
Commodity Futures or Options	<input type="checkbox"/> 0-2	<input type="checkbox"/> 3-5	<input type="checkbox"/> >5
Financial or Spread Betting	<input type="checkbox"/> 0-2	<input type="checkbox"/> 3-5	<input type="checkbox"/> >5

7. Notice and Declaration

- We have read and understood the Customer Agreement, the Terms of Business and the Risk Warning Notice, and agree to be bound by them.
- We consent to transfer or otherwise pass full title to, and ownership of, Non-segregated Funds (as defined in clause 6.2 of the Customer Agreement) to the Company for the purpose of securing or otherwise covering our present or future, actual or contingent or prospective obligations. We agree that we will no longer have a proprietary claim over this money until an equivalent transfer is made back to us if the provision of collateral by us is no longer necessary.
- We consent that Non-segregated funds do not constitute and shall not at any time be deemed to constitute client money for purposes of the FSA Rules and agree that the Company can deal with this money in its own right and in the event of the Company's insolvency we will rank as a general creditor of the Company.
- We guarantee that we do not breach any regulations of our country of residence in trading with Alpari (UK) Ltd. and we are responsible for any taxation requirement of that country.
- We declare that the above information given by us is true and correct. We undertake to notify you, in writing, if there are any changes to the above data.

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_____	_____	_____	_____
Signature of Director 1	Date	Signature of Director 2	Date
_____	_____	_____	_____
Name 1		Name 2	

8. Supporting Documents

The following supporting documentation must be received by Alpari (UK) Ltd. before the account becomes live:

- Memorandum and Articles of Association
- Certificate of Incorporation
- Individual verification of any Shareholders owning over 25%**
- Verification of 2 Directors*

* If any of the 2 Directors is a private individual, we require scanned or faxed copy of his/her valid passport, driving license or National ID card and copy of utility bill (not mobile phone) or bank statement bearing their permanent address within the past three months. If any of the 2 Directors is a corporate entity, we require the entity's memorandum and articles of association, certificate of incorporation and verification of the directors and shareholders.

** If any of the Shareholders is a private individual, we require scanned or faxed copy of his/her valid passport, driving license or National ID card and copy of utility bill (not mobile phone) or bank statement bearing their permanent address within the recent three months. If any of the Shareholders is a corporate entity, we require the entity's memorandum and articles of association, certificate of incorporation and verification of the directors and shareholders."

If your funds do not emanate from a bank account in the UK, Australia, Austria, Belgium, Canada, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Luxembourg, Netherlands, New Zealand, Norway, Portugal, Spain, Sweden, Switzerland or USA, please mail all copies certified as true copies by a Notary, Lawyer, Embassy Official or Banker.

For applicants outside the UK, EU, New Zealand, Australia, Switzerland, Japan or Singapore, identity documents should be certified as a true copy by a lawyer, notary or bank manager.

Depending on the company structure, we may call for certain additional supporting documents from the applicant.

Certified Board Resolution

I / we _____, Company Secretary
of _____, certify that the following resolutions were passed
by the Directors of the Company at a meeting held on _____.

It was resolved as follows:

1. To establish an account in the name of the company for the purpose of entering into Forex and Contracts for Difference (CFDs) and other transactions relating to margined products. All such transactions will be subject to Alpari (UK)'s Customer Agreement, Terms of Business and Risk Warning Notice.

2. That each of the names listed below shall be jointly and severally authorised to sign any document in connection with the account and to give any oral, written or electronic instructions to enter into transactions on behalf of the Company:

Name & Title

Signature

Name & Title

Signature

3. That these resolutions shall remain in force until an amending resolution is passed and a copy received by Alpari (UK) Limited.

Signature of Company Secretary

Date